

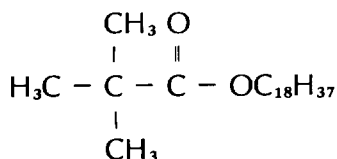
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# Final Report on the Safety Assessment of Isostearyl Neopentanoate

Isostearyl Neopentanoate, the ester of Isostearyl Alcohol and Neopentanoic Acid, is used in cosmetic products as an emollient at concentrations up to 50 percent. The undiluted ingredient at doses up to 4 ml/kg was shown to be relatively non-toxic in short- and long-term feeding studies. Test data from animal and clinical studies indicate the undiluted ingredient is neither an irritant nor a sensitizer. A cosmetic formulation containing 16 percent Isostearyl Neopentanoate produced no phototoxicity and no photoallergenicity. Mutagenicity, carcinogenicity, and teratogenicity data were not available. Isostearyl Neopentanoate was not considered to be a significant comedogenic agent. On the basis of available data, it is concluded that this ingredient is safe as a cosmetic ingredient in its present practices of use.

## CHEMISTRY

Isostearyl Neopentanoate (CAS No. 58958-60-4) is the ester of isostearyl alcohol and neopentanoic acid. It conforms to the formula:



This cosmetic ingredient is also known as Ceraphyl 375; Cyclochem INEO; Schercemol 85; and 2,2-dimethylpropanoic acid, iso-octadecyl ester.<sup>(1,2)</sup> It is prepared by esterifying isostearyl alcohol with neopentanoic acid in the presence of a catalyst; the resulting product is purified by a proprietary process.<sup>(2)</sup> Reported impurities for Isostearyl Neopentanoate include neopentanoic acid (0.4 percent maximum) and isomers of isostearyl alcohol.<sup>(3-5)</sup> The chemical and physical properties of Isostearyl Neopentanoate are listed in Table 1.

**TABLE 1.** Chemical and Physical Properties of Isostearyl Neopentanoate as Used in Cosmetics<sup>(2,5,6,10)</sup>

|                                                     |                                                                       |
|-----------------------------------------------------|-----------------------------------------------------------------------|
| Appearance                                          | Clear, slightly yellow liquid                                         |
| Molecular weight range                              | 348–390                                                               |
| UV absorption                                       | Peak absorbance in isopropyl alcohol solvent occurs at approx. 270 nm |
| Acid value (mg KOH/g)                               | 2.0 maximum                                                           |
| Saponification value (mg KOH/g)                     | 144–161                                                               |
| Refractive index (at 25°C)                          | 1.4485–1.4515                                                         |
| Pounds per gallon (at 25°C)                         | 7.2                                                                   |
| Cloud point                                         | –10.0°C                                                               |
| Iodine value                                        | 8.0                                                                   |
| Flash point (open cup)                              | >180°C                                                                |
| Free fatty acid as Neopentanoic Acid (mol. wt. 102) | 0.4 percent maximum                                                   |
| Specific gravity (at 25°C)                          | 0.858–0.870                                                           |
| Solubilities (at 5 percent):                        |                                                                       |
| Isopropyl myristate                                 | Soluble                                                               |
| Oleyl alcohol                                       | Soluble                                                               |
| Peanut oil                                          | Soluble                                                               |
| Mineral oil                                         | Soluble                                                               |
| 95 percent ethanol                                  | Soluble                                                               |
| Propylene glycol                                    | Soluble                                                               |
| Polypropylene glycol 3025                           | Insoluble                                                             |
| Polyethylene glycol 400                             | Insoluble                                                             |
| 80 percent ethanol                                  | Insoluble                                                             |
| 70 percent sorbitol                                 | Insoluble                                                             |
| Water                                               | Insoluble                                                             |

## COSMETIC USE

Isostearyl Neopentanoate is used in cosmetics for its emollient properties. It functions as a pigment-dispersing agent in eye makeup preparations and as a binder for pressed powder makeup.<sup>(6)</sup>

Product formulation data submitted to the Food and Drug Administration (FDA) in 1981 by cosmetic firms participating in the voluntary cosmetic registration program indicated that Isostearyl Neopentanoate was used that year in a total of 208 cosmetic formulations (Table 2). The product type in which Isostearyl Neopentanoate was most frequently used was eye shadow (135 products). Reported concentrations of this ingredient in cosmetics were as follows: > 25 to 50 percent (4 products), > 10 to 25 percent (12 products), > 5 to 10 percent (119 products), > 1 to 5 percent (71 products), and > 0.1 to 1 percent (2 products).<sup>(7,8)</sup>

Voluntary filing of product formulation data with the FDA by cosmetic manufacturers and formulators conforms to the prescribed format of preset concentration ranges and product categories as described in Title 21, Part 720.4 of the Code of Federal Regulations.<sup>(9)</sup> Because data are only submitted within the framework of preset concentration ranges, opportunity exists for overestimation

**TABLE 2.** Product Formulation Data<sup>(7,8)</sup>

| Product Category                                                             | Total No. of Formulations in Category | Total No. Containing Ingredient | No. of Product Formulations Within Each Concentration Range (percent)* |        |       |      |        |
|------------------------------------------------------------------------------|---------------------------------------|---------------------------------|------------------------------------------------------------------------|--------|-------|------|--------|
|                                                                              |                                       |                                 | >25-50                                                                 | >10-25 | >5-10 | >1-5 | >0.1-1 |
| Isostearyl Neopentanoate                                                     |                                       |                                 |                                                                        |        |       |      |        |
| Eyeliner                                                                     | 396                                   | 5                               | —                                                                      | —      | 5     | —    | —      |
| Eye shadow                                                                   | 2582                                  | 135                             | —                                                                      | —      | 98    | 37   | —      |
| Eye makeup remover                                                           | 81                                    | 1                               | —                                                                      | 1      | —     | —    | —      |
| Other eye makeup preparations                                                | 230                                   | 3                               | —                                                                      | —      | 2     | 1    | —      |
| Blushers (all types)                                                         | 819                                   | 20                              | 2                                                                      | 3      | 8     | 7    | —      |
| Makeup foundations                                                           | 740                                   | 10                              | —                                                                      | —      | 2     | 8    | —      |
| Makeup bases                                                                 | 831                                   | 16                              | 2                                                                      | 7      | —     | 7    | —      |
| Rouges                                                                       | 211                                   | 2                               | —                                                                      | —      | —     | 2    | —      |
| Other makeup preparations (not eye)                                          | 530                                   | 1                               | —                                                                      | 1      | —     | —    | —      |
| Skin cleansing preparations (cold creams, lotions, liquids, and pads)        | 680                                   | 1                               | —                                                                      | —      | 1     | —    | —      |
| Face, body, and hand skin care preparations (excluding shaving preparations) | 832                                   | 1                               | —                                                                      | —      | —     | 1    | —      |
| Moisturizing skin care preparations                                          | 747                                   | 8                               | —                                                                      | —      | 3     | 4    | 1      |
| Night skin care preparations                                                 | 219                                   | 1                               | —                                                                      | —      | —     | 1    | —      |
| Other skin care preparations                                                 | 349                                   | 1                               | —                                                                      | —      | —     | 1    | —      |
| Suntan gels, creams, and liquids                                             | 164                                   | 2                               | —                                                                      | —      | —     | 2    | —      |
| Other suntan preparations                                                    | 28                                    | 1                               | —                                                                      | —      | —     | —    | 1      |
| 1981 TOTALS                                                                  |                                       | 208                             | 4                                                                      | 12     | 119   | 71   | 2      |

\*Preset product categories and concentration ranges in accordance with federal filing regulations (21 CFR 720.4).

of the actual concentration of an ingredient in a particular product. An entry at the lowest end of a concentration range is considered the same as one entered at the highest end of that range, thus introducing the possibility of a two- to ten-fold error in the assumed ingredient concentration.

Cosmetic products containing Isostearyl Neopentanoate are applied to or have the potential to come in contact with eyes and skin. Frequency and duration of application of these products will vary. Formulations incorporating Isostearyl Neopentanoate as an ingredient may be used as infrequently as once a week to as frequently as several times a day. Many of these products may be expected to remain in contact with the skin for as briefly as a few hours to as long as a few days. Each cosmetic product containing Isostearyl Neopentanoate has the potential for repeated application over the course of several years.

A cosmetic makeup cream containing Isostearyl Neopentanoate as a component ingredient has been described in a French patent.<sup>(11)</sup> This was the only reference to Isostearyl Neopentanoate in the published literature of which the Panel was aware.

## **ANIMAL TOXICOLOGY**

### **Acute Oral Toxicity**

The acute oral toxicity of cosmetic products containing various concentrations of Isostearyl Neopentanoate was assessed in both rats and mice (Table 3). In a study to evaluate 100 percent Isostearyl Neopentanoate, the acute oral LD<sub>50</sub> in rats was > 40 ml/kg.<sup>(12)</sup>

### **Skin Irritation**

One hundred percent Isostearyl Neopentanoate caused no skin irritation in 24-hour patch tests with rabbits.<sup>(13-15)</sup> Cosmetic formulations containing various concentrations of Isostearyl Neopentanoate produced skin reactions in rabbits ranging from no skin irritation to minimal or mild skin irritation (Table 4).

### **Skin Sensitization**

Two guinea pig studies were conducted to evaluate the skin sensitization ability of Isostearyl Neopentanoate. Each study employed a different test methodology.

Ten albino guinea pigs were tested by the Magnusson-Kligman maximization procedure<sup>(16)</sup> to determine the skin sensitization potential of Isostearyl Neopentanoate in petrolatum. The procedure called for three test phases: induction, booster, and challenge. During the induction phase, the left and right upper back area of each of the 10 female guinea pigs received 0.05 ml intradermal injections of 50 percent aqueous Freund's complete adjuvant, 5 percent Isostearyl Neopentanoate in propylene glycol, and 5 percent Isostearyl Neopentanoate in 50 percent aqueous Freund's complete adjuvant. Twenty-four hours before the booster, 10 percent aqueous sodium lauryl sulfate was applied unoccluded to the induction site. One week after the induction injection, a 0.1 ml topical booster of "full-strength" Isostearyl Neopentanoate was applied under an occlusive dressing to the same test site as used in the induction. The booster site remained occluded

for 48 hours. Two weeks following the topical booster, each guinea pig was challenged with 0.1 ml of 1.0 percent Isostearyl Neopentanoate in petrolatum on previously untreated sites on the left or right flank. The challenge dose was kept under an occlusive patch for 24 hours, after which time the patch was removed and the test site graded. One guinea pig developed barely perceptible to minimal skin erythema 48 hours following removal of the occlusive challenge patch, whereas a second animal developed the same reaction 72 hours following removal of the challenge patch. A third guinea pig had a barely perceptible to minimal skin erythema at both the 48- and 72-hour challenge evaluations. However, the reactions of this guinea pig may not have been due to Isostearyl Neopentanoate because it appeared that the animal may have scratched the test site. One of six control guinea pigs also developed minimal skin erythema. It was the investigator's opinion that Isostearyl Neopentanoate "did not demonstrate any discernible potential for allergic skin sensitization."<sup>(31)</sup>

The skin sensitization potential of Isostearyl Neopentanoate in pyrogen-free physiological saline was determined in 10 male, albino guinea pigs by the Landsteiner and Jacob method.<sup>(32)</sup> The test material was injected intracutaneously into the shaved back 3 times a week until a total of 10 injections had been made. The first injection for each animal consisted of 0.05 ml, and the remaining 9 injections were 0.1 ml each. Two weeks after the tenth injection, a challenge (retest) dose consisting of 0.05 ml of a freshly prepared solution was administered intracutaneously. The eleventh (retest) injection was administered just below the region of the 10 sensitizing injections. Twenty-four hours following each dose, skin reactions were evaluated and scored for diameter, height, and redness. The score for each animal was 0.0. The investigator considered Isostearyl Neopentanoate in physiological saline a "nonsensitizer."<sup>(33)</sup>

### Comedogenicity/Pustulogenicity

Isostearyl Neopentanoate was tested in two comedogenicity assays. In one assay, the ingredient at both 100 percent concentration and 50 percent concentration in mineral oil was applied to the ears of albino rabbits 5 days a week for 4 consecutive weeks (20 applications). One hundred percent Isostearyl Neopentanoate was not comedogenic, whereas 50 percent Isostearyl Neopentanoate in mineral oil was marginally comedogenic; neither of these materials was pustulogenic.<sup>(34)</sup>

In the second assay, a night cream containing 3 percent Isostearyl Neopentanoate was evaluated by application of the formulation to the ears of albino rabbits 5 days a week for 5 consecutive weeks (25 applications). The cream produced no significant comedone formation.<sup>(35)</sup>

### Eye Irritation

Isostearyl Neopentanoate at 100 percent concentration was at most a minimal irritant to the rabbit eye.<sup>(14,36)</sup> Ocular irritation to cosmetic products containing this ingredient varied according to the formulation tested; eye reactions in rabbits ranged from no irritation to minimal irritation. Results of these studies are summarized in Table 5.

**TABLE 3.** Acute Oral Toxicity

| <i>Material Tested</i>                                                                                         | <i>Isostearyl<br/>Neopentanoate<br/>Concentration<br/>(percent)</i> | <i>No. and Kind<br/>of Animal</i>              | <i>Single Oral<br/>Dose</i>         | <i>LD<sub>50</sub> of Material</i> | <i>Comments</i>                                                                                                        | <i>Reference</i> |
|----------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|------------------------------------------------|-------------------------------------|------------------------------------|------------------------------------------------------------------------------------------------------------------------|------------------|
| Isostearyl Neopentanoate                                                                                       | 100                                                                 | 5 groups of Wistar albino rats (5 rats/group)  | 2.5, 5.0, 10.0, 20.0, or 40.0 ml/kg | > 40 ml/kg                         | No deaths during 14-day observation period following the single oral dose                                              | 12               |
| Face makeup foundation (containing 36 percent Isostearyl Neopentanoate) as a 50 percent suspension in corn oil | 18                                                                  | 5 Sprague-Dawley rats                          | 15.8 g/kg                           | > 15.8 g/kg (product in corn oil)  | —                                                                                                                      | 17               |
| Lip product                                                                                                    | 16.05                                                               | 10 fasted Sprague-Dawley rats (5 M and 5 F)    | 20 ml/kg                            | > 20 ml/kg (product)               | No abnormal findings observed at necropsy, which was performed 14 days after the single oral dose                      | 18               |
| Cosmetic product (containing 25 percent Isostearyl Neopentanoate) as a 50 percent suspension in sesame oil     | 12.5                                                                | 3 groups of Sprague-Dawley rats (6 rats/group) | 5, 10, or 20 g/kg                   | > 20 g/kg (product in sesame oil)  | No deaths or abnormal behavioral reactions during 2 weeks following the single oral dose; no lesions found at necropsy | 19               |

|                                                                                                    |     |                                            |           |                                       |                                                                                                                                                                                                                            |    |
|----------------------------------------------------------------------------------------------------|-----|--------------------------------------------|-----------|---------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|
| Blusher (containing 32 percent Isostearyl Neopentanoate) as a 25 percent suspension in corn oil    | 8   | 10 fasted Harlan Wistar rats (5 M and 5 F) | 5 g/kg    | > 5 g/kg (product in corn oil)        | Poor grooming and soft stools observed for 3 days after the single oral dose. Males had an average weight loss of 25 g over a 7-day period, whereas females gained an average of 37 g over same period; no deaths occurred | 20 |
| Night cream (containing 3 percent Isostearyl Neopentanoate) as a 50 percent (w/v) aqueous solution | 1.5 | 10 Sprague-Dawley rats (5 M and 5 F)       | 5 g/kg    | > 5.0 g/kg (aqueous product solution) | No deaths during 14-day observation period following the single oral dose                                                                                                                                                  | 21 |
| Moisturizing lotion                                                                                | 1.5 | 5 Sprague-Dawley rats                      | 15.9 g/kg | > 15.9 g/kg (product)                 | —                                                                                                                                                                                                                          | 22 |
| Body oil                                                                                           | 2.5 | 10 Charles River CF-1 mice                 | 15 ml/kg  | > 15 ml/kg (product)                  | No deaths during 5-day observation period following the single oral dose                                                                                                                                                   | 23 |

**TABLE 4.** Skin Irritation

| <i>Material Tested</i>                          | <i>Isostearyl Neopentanoate<br/>Concentration (percent)</i> | <i>No. of<br/>Albino Rabbits</i> | <i>Methods</i>                                                                                                                       | <i>Comments/Results</i>                                                                                                                                  | <i>Reference</i> |
|-------------------------------------------------|-------------------------------------------------------------|----------------------------------|--------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|
| Isostearyl Neopentanoate                        | 100                                                         | 3                                | Draize et al. <sup>(24)</sup> :<br>0.5 ml of test material<br>applied under occlusive<br>24-hour patch to intact<br>and abraded skin | No skin irritation observed<br>at the 24- or 72-hour<br>evaluations                                                                                      | 13               |
| Isostearyl Neopentanoate                        | 100                                                         | 9                                | 0.5 ml of test material<br>applied under occlusive<br>24-hour patch to clipped<br>skin of the back                                   | No skin irritation observed<br>at the 24- or 72-hour<br>evaluations                                                                                      | 14               |
| Isostearyl Neopentanoate                        | 100                                                         | 9                                | 0.5 ml of test material<br>applied under occlusive<br>24-hour patch to clipped<br>skin of the back                                   | No skin irritation observed<br>at the 24- or 72-hour<br>evaluations                                                                                      | 15               |
| Isostearyl Neopentanoate<br>in aqueous solution | 30                                                          | 9                                | Test material applied<br>under occlusive 24-hour<br>patch to the clipped skin                                                        | No skin irritation observed<br>at the 24- or 72-hour<br>evaluations                                                                                      | 15               |
| Blusher                                         | 32                                                          | 3                                | 0.5 ml of product applied<br>daily for 4 days to<br>shaved back                                                                      | Slight edema and dehydration<br>of skin observed on Days 6<br>and 7 of a 7-day observation<br>period; irritation index was<br>0.3 on a scale of 0 to 8.0 | 20               |



|                               |       |   |                                                                                                             |                                                                                                                                                                                                          |    |
|-------------------------------|-------|---|-------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|
| Lip product                   | 16.05 | 6 | 0.5 ml of product applied under open patch to intact, clipped skin daily for 3 days                         | 4/6 rabbits had a very slight skin erythema at the 72-hour evaluation                                                                                                                                    | 25 |
| Moisturizer                   | 5     | 9 | Product applied under occlusive dressing to clipped skin for unspecified period of time                     | Irritation scores at the 2- and 24-hour evaluations were 0.39 and 0.06, respectively; the Primary Irritation Index was 0.39 on a scale of 0 to 4, indicating barely perceptible to minimal skin erythema | 26 |
| Night cream                   | 3     | 6 | 48-hour patch containing product applied to clipped intact skin of back                                     | The mean primary irritation score was 2.67, indicating mild irritation                                                                                                                                   | 27 |
| Body oil                      | 2.5   | 3 | Draize <sup>(28)</sup> : 0.5 ml of product applied under occlusive 24-hour patch to intact and abraded skin | No skin irritation observed at the 24- or 72-hour evaluations                                                                                                                                            | 29 |
| Eye shadow (aqueous "slurry") | 1.2   | 9 | Product applied under closed patch to clipped skin for unspecified period of time                           | No skin irritation observed at the 2- or 24-hour evaluations                                                                                                                                             | 30 |

**TABLE 5.** Eye Irritation

| <i>Material Tested</i>            | <i>Isostearyl Neopentanoate<br/>Concentration (percent)</i> | <i>No. of<br/>Albino Rabbits</i> | <i>Treatment of<br/>Exposed Eyes*</i> | <i>Comments/Results</i>                                                                                                                                                                                                                        | <i>Reference</i> |
|-----------------------------------|-------------------------------------------------------------|----------------------------------|---------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|
| Isostearyl Neopentanoate          | 100                                                         | 6                                | NWR                                   | The average score was 1.0, 1.0, and 0.0 on Days 1, 2, and 3 postinstillation, respectively (max. score/observation = 110); investigators considered the eye irritation to be "minimal"                                                         | 14               |
| Isostearyl Neopentanoate          | 100                                                         | 3                                | NWR                                   | Slight movement of nictitating membrane observed immediately following test material exposure; no irritation 1, 2, or 3 days post-instillation; behavior patterns and eating habits "within normal limits" during the 3-day observation period | 36               |
| Face makeup foundation<br>Blusher | 36                                                          | 3                                | TNS                                   | No ocular irritation observed                                                                                                                                                                                                                  | 17               |
|                                   | 32                                                          | 6                                | TNS                                   | Slight conjunctival redness observed in each rabbit 1 hour postinstillation; however, conjunctivae were clear at the 24 and 48 hour evaluations; corneal and iridial membranes appeared normal throughout the 7-day observation period         | 20               |
| Lip product                       | 16.05                                                       | 6                                | NWR                                   | 2/6 rabbits had conjunctival irritation 24 hours posttreatment; however, no irritation was observed at the 72-hour grading; the product was not considered an eye irritant under Federal Hazardous Substances Act regulations <sup>(37)</sup>  | 38               |

|                     |     |   |                                                                  |                                                                                                                                                                                                                                                                                                                     |    |
|---------------------|-----|---|------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|
| Moisturizer         | 5   | 6 | NWR                                                              | 1/6 rabbits had minimal eye irritation 24 hours postinstillation; however, no irritation was observed at the 48-hour evaluation                                                                                                                                                                                     | 26 |
| Moisturizer         | 5   | 3 | NWR                                                              | No ocular irritation observed 1, 2, 3, 4, or 7 days post-instillation                                                                                                                                                                                                                                               | 39 |
| Night cream         | 3   | 9 | WR(3 rabbits)<br>4 seconds<br>after exposure; NWR<br>(6 rabbits) | No ocular irritation observed in rabbits given a water rinse; in the group receiving no water rinse, 2/6 rabbits had conjunctival irritation at the 24-hour evaluation; no irritation was observed 2, 3, or 7 days post-instillation; the product was considered "practically nonirritating" to the no-rinse groups | 40 |
| Body oil            | 2.5 | 3 | NWR                                                              | No ocular irritation observed 1, 2, 3, 4, or 7 days post-instillation                                                                                                                                                                                                                                               | 41 |
| Moisturizing lotion | 1.5 | 3 | TNS                                                              | Minimal conjunctival irritation observed                                                                                                                                                                                                                                                                            | 22 |
| Eye shadow          | 1.2 | 6 | NWR                                                              | 2/6 rabbits developed minimal ocular irritation                                                                                                                                                                                                                                                                     | 30 |

\*In each study, the test material was instilled into one eye of the rabbit; the untreated eye served as a control. The single dose for liquid test materials was 0.1 ml. In some instances following the single exposure, the treated eye received a water rinse to remove residual test material. WR, water rinse; NWR, no water rinse; TNS, treatment not specified.

### Phototoxicity

A night cream containing 3 percent Isostearyl Neopentanoate was evaluated for its ability to cause phototoxicity. Gauze patches containing the product were applied under occlusion to both the left and right sides of the clipped back of each of 6 New Zealand rabbits. No abrasions were made to the skin. After 2 hours of exposure, patches on the right side were removed and the test sites subsequently irradiated with ultraviolet (UV) light (Sylvania Light No. F-40-BLB) for 15 minutes. The patches on the irradiated side were then replaced. Forty-eight hours following UV exposure, all patches were removed. Treated sites were graded for erythema and edema at 49, 72, and 96 hours post-UV exposure. The mean primary skin irritation score calculated for nonirradiated sites and the mean phototoxic irritation score calculated for irradiated sites were both 2.67, indicating mild skin irritation. No significant difference was observed between non-irradiated and irradiated sites. It was concluded that the product containing 3 percent Isostearyl Neopentanoate was a mild primary skin irritant, but not a phototoxic skin irritant.<sup>(27)</sup>

### Subchronic Oral Toxicity

Isostearyl Neopentanoate (100 percent) was administered by gavage to Sprague-Dawley rats for 93 days. Four groups of animals consisting of 10 males and 10 females per group were given the test material in doses of 0, 1.0, 2.0, or 4.0 ml/kg. All animals survived the duration of the study, and no adverse behavioral responses were noted. Weekly mean body weights and total body weight gains for all treatment groups were comparable to control values. "Unthrifty" hair was observed in each of the three exposed groups, and matted and oily hair occurred in groups receiving the 2.0 and 4.0 ml/kg dosage regimen. Hematocrit values and mean corpuscular volume were increased in males of the low dose (1.0 ml/kg) group at Week 7. Increases were observed in the neutrophil:lymph ratio at Week 13 in males of the high dose (4.0 ml/kg) group. Changes in serum glucose, serum alkaline phosphatase, serum urea nitrogen, urinary protein, urine specific gravity, and urinary pH were also noted in the three treatment groups. These changes were considered of no toxicological importance, since they were within the range of normal values established for the strain of animal used. In the case of serum glucose, the changes were also considered of no toxicological significance, since they were neither unidirectional nor time- or dose-related. Other hematologic parameters (hemoglobin, total and differential leukocyte counts, red blood cell count, mean corpuscular hemoglobin concentration), clinical chemistry parameters (serum glutamic pyruvic transaminase, serum glutamic oxaloacetic transaminase), and components of the urinalysis (glucose, occult blood, bilirubin, ketones, color) for each of the treatment groups were comparable to those of the control group. In the high dose (4.0 ml/kg) group, significant increases were observed in weights of liver and heart. The increase in hepatic weight was associated with a slight increase in the frequency and severity of hepatic cytoplasmic vacuolation; however, these changes were not considered pathologically significant. Renal weights were increased and splenic weights were decreased in the low dose (1.0 ml/kg) group, but there were no histopathological changes in these organs. No significant differences were observed between exposed and control groups with respect to weight of adrenals, brain,

lung, testes, or uterus. Gross examination of the skin, lungs, eyes, testes, liver, and cecum revealed no treatment-related lesions. Microscopic examination of the heart, spleen, stomach, lung, liver, brain, kidneys, adrenals, pancreas, testes, uterus, and bone marrow (sternum) also revealed no changes that could be attributed to the oral administration of Isostearyl Neopentanoate. The investigators concluded that Isostearyl Neopentanoate is safe in terms of cumulative systemic toxicity.<sup>(5)</sup>

## CLINICAL ASSESSMENT OF SAFETY

### Skin Irritation and Sensitization

The ability of Isostearyl Neopentanoate or cosmetic products containing this ingredient to cause skin irritation and sensitization was assessed in a number of clinical studies. One hundred percent Isostearyl Neopentanoate induced no skin sensitization and no significant skin irritation. Skin irritation to products containing Isostearyl Neopentanoate varied according to test methodology and product but was generally mild at most. Cosmetic products containing this ingredient produced no skin sensitization. Individual studies are discussed below; results are summarized in Table 6.

Ten subjects were exposed to 100 percent Isostearyl Neopentanoate in a 48-hour patch test. The upper portion of the back was used as the site of test material (0.5 ml) application. Skin irritation was graded on a scale of 0 (no reaction) to 6 (erythema with infiltration, vesicles, pustules, and/or erosions) 2 hours following removal of the patch. The test material elicited a skin reaction in 1 of the 10 subjects. This individual's reaction was given a score of 1.0, indicating a "slight non-inflammatory change in surface structure."<sup>(42)</sup>

Two 48-hour patch tests were conducted to evaluate the skin irritating ability of a night cream containing 3 percent Isostearyl Neopentanoate. The site of test material contact was the upper back in one study (10 subjects) and the forearm in the second study (10 subjects). Skin response was graded 2 hours following removal of the patches. No irritation was observed in the 20 subjects tested.<sup>(43,44)</sup>

No skin irritation was observed in another 48-hour patch test when 100 women were exposed on the upper back to a moisturizer containing 5 percent Isostearyl Neopentanoate.<sup>(45)</sup> In this test, treated sites were graded for erythema and edema both 15 minutes and 24 hours following removal of the occlusive patch.

Cosmetic products containing Isostearyl Neopentanoate were evaluated for skin irritation in three 24-hour patch tests. In the first study, 2 of 20 individuals developed skin irritation when given a single application of a moisturizer containing 5 percent Isostearyl Neopentanoate. Of the 2 reactors, one demonstrated a "barely perceptible" to minimal skin erythema, whereas a second had mild skin erythema. The Primary Irritation Index was 0.08, indicating that the moisturizer was a minimal skin irritant.<sup>(46)</sup> A lotion containing 4 percent Isostearyl Neopentanoate was also a minimal skin irritant (PII = 0.08) in a second 24-hour patch test involving 20 subjects. Two individuals developed "barely perceptible" to mild skin erythema in this study as well.<sup>(47)</sup> No skin irritation was observed in a third study when another 20 subjects were exposed for 24 hours to an eye shadow formulation containing 1.2 percent Isostearyl Neopentanoate.<sup>(48)</sup>

**TABLE 6.** Clinical Skin Irritation and Sensitization

| <i>Test</i>                       | <i>Material Tested</i>   | <i>Isostearyl<br/>Neopentanoate<br/>Concentration<br/>(percent)</i> | <i>No. of<br/>Subjects</i> | <i>Method</i>                                      | <i>Comments/Results</i>                                                         | <i>Reference</i> |
|-----------------------------------|--------------------------|---------------------------------------------------------------------|----------------------------|----------------------------------------------------|---------------------------------------------------------------------------------|------------------|
| Skin irritation                   | Isostearyl Neopentanoate | 100                                                                 | 10                         | Single 48-hour patch                               | 1/10 subjects developed a "slight noninflammatory change in surface structure"  | 42               |
| Skin irritation                   | Night cream              | 3                                                                   | 10                         | Single 48-hour patch (applied to back)             | No skin irritation                                                              | 44               |
| Skin irritation                   | Night cream              | 3                                                                   | 10                         | Single 48-hour patch (applied to forearm)          | No skin irritation                                                              | 43               |
| Skin irritation                   | Moisturizer              | 5                                                                   | 100                        | Single 48-hour patch                               | No skin irritation                                                              | 45               |
| Skin irritation                   | Moisturizer              | 5                                                                   | 20                         | Single 24-hour patch                               | 2/20 subjects developed "barely perceptible" to mild skin erythema (PII = 0.08) | 46               |
| Skin irritation                   | Lotion                   | 4                                                                   | 20                         | Single 24-hour patch                               | 2/20 subjects developed "barely perceptible" to mild skin erythema (PII = 0.08) | 47               |
| Skin irritation                   | Eye shadow               | 1.2                                                                 | 20                         | —                                                  | No skin irritation                                                              | 48               |
| Skin irritation                   | Face makeup foundation   | 36                                                                  | 101                        | Fisher <sup>(49)</sup>                             | "Negative"                                                                      | 17               |
| Skin irritation                   | Moisturizing lotion      | 1.5                                                                 | 100                        | Fisher <sup>(49)</sup>                             | "Negative"                                                                      | 50               |
| Skin irritation                   | Moisturizer              | 5                                                                   | 20                         | "Use Test"<br>(3 weeks of product use)             | 1/20 subjects developed dryness and/or increased scaliness of skin              | 51               |
| Skin irritation                   | Cream                    | 3                                                                   | 15                         | Daily patches to same site for 21 consecutive days | Mild skin irritation                                                            | 52               |
| Skin irritation/<br>sensitization | Isostearyl Neopentanoate | 100                                                                 | 52                         | Modified Draize-Shelanski repeat insult patch test | No skin sensitization and no significant skin irritation                        | 53               |

|                                   |                                                                                                   |       |     |                                                           |                                                                                                                                                           |    |
|-----------------------------------|---------------------------------------------------------------------------------------------------|-------|-----|-----------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------|----|
| Skin irritation/<br>sensitization | Raw material mixture of<br>40 percent mineral oil<br>and 60 percent Iso-<br>stearyl Neopentanoate | 60    | 103 | Repeat insult patch<br>test                               | Minimal skin irritation;<br>no skin sensitization,<br>hypopigmentation, or<br>hyperpigmentation<br>observed                                               | 54 |
| Skin irritation/<br>sensitization | Blusher                                                                                           | 32    | 210 | Shelanski-Jordan<br>repeat insult patch<br>test           | 2/210 subjects developed<br>skin irritation (erythema<br>and papules); no skin<br>sensitization was<br>observed                                           | 55 |
| Skin irritation/<br>sensitization | Blusher                                                                                           | 32    | 151 | Modified Draize-<br>Shelanski repeat<br>insult patch test | No skin irritation or<br>sensitization                                                                                                                    | 56 |
| Skin irritation/<br>sensitization | Face product                                                                                      | 25    | 54  | Modified Draize-<br>Shelanski repeat<br>insult patch test | No skin irritation or<br>sensitization                                                                                                                    | 57 |
| Skin irritation/<br>sensitization | Lip product                                                                                       | 16.05 | 198 | Modified Draize-<br>Shelanski repeat<br>insult patch test | 4/198 subjects developed<br>skin irritation (macular,<br>faint erythema); no<br>sensitization was<br>observed                                             | 58 |
| Skin irritation/<br>sensitization | Moisturizer                                                                                       | 5     | 107 | Repeat insult patch<br>test                               | 2/107 subjects developed<br>minimal to mild skin<br>erythema during induc-<br>tion phase; no skin<br>sensitization was<br>observed                        | 59 |
| Skin irritation/<br>sensitization | Moisturizer                                                                                       | 5     | 103 | Repeat insult patch<br>test                               | 6/106 subjects developed<br>skin irritation during<br>induction phase; no skin<br>sensitization was ob-<br>served in 98 panelists<br>completing the study | 60 |

A face makeup foundation containing 36 percent Isostearyl Neopentanoate and a moisturizing lotion containing 1.5 percent of the ingredient were tested for their skin-irritating properties on 101 and 100 subjects, respectively. The methods employed in each study were similar to those described by Fisher.<sup>(49)</sup> Occlusive patch test results for both products were reported as "negative."<sup>(17,50)</sup>

A "use test" was conducted with a moisturizer containing 5 percent Isostearyl Neopentanoate on two groups of teenagers (20 subjects/group). One group used the test moisturizer for 3 weeks followed by use of control moisturizer for the same length of time. The second group used the same two products but in reverse order. Skin conditions were assessed before the test and after each 3 weeks of product use. One of 20 subjects developed dryness and/or increased scaliness of the skin as a result of the test moisturizer, whereas no subjects had skin reactions to the control moisturizer. The investigator concluded that the test moisturizer's "capacity for evoking irritation is considered to be no different from that which would result from comparable usage of a currently-marketed moisturizer."<sup>(51)</sup>

A cosmetic cream containing 3 percent Isostearyl Neopentanoate was tested for cumulative skin irritation. Patch applications of the product were made to the upper back on the same site daily for 21 consecutive days. Mild irritation was observed in the 15 female volunteers completing the study.<sup>(52)</sup>

A modified Draize-Shelanski patch test was conducted to evaluate the ability of 100 percent Isostearyl Neopentanoate to cause primary skin irritation and/or skin sensitization. The cosmetic ingredient was applied under occlusion for 10 24-hour periods to the same site on the back of 43 female and 9 male subjects. After a 12-day nontreatment period, a 48-hour challenge patch was applied to the back. A second 48-hour challenge patch was applied following removal of the initial challenge patch. The challenge sites were graded both immediately and 24 hours after removal of each patch. Undiluted Isostearyl Neopentanoate caused no sensitization and no significant irritation.<sup>(53)</sup>

A repeated insult patch test was conducted on 103 female Caucasian subjects to assess skin irritation and sensitization of a raw material containing 40 percent mineral oil and 60 percent Isostearyl Neopentanoate. Mineral oil was also included in the testing as a nonirritating control. Patches containing the test substances were applied under semi-occlusion to the intact skin of the upper back of each subject. The patches remained in place for 48 hours (72 hours on weekends) and then removed. The treated sites were then graded and new patches were applied. This procedure was repeated for a total of 10 induction applications. Following a 2-week nonexposure period, a challenge patch was applied. The mean irritation scores were  $0.117 \pm 0.042$  and  $0.320 \pm 0.151$  for the nonirritating control (mineral oil) and the mineral oil/Isostearyl Neopentanoate mixture, respectively (the grading scale was not specified). No skin sensitization, hypopigmentation, hyperpigmentation, or other adverse reaction were observed.<sup>(54)</sup>

A Shelanski-Jordan Repeat Insult Patch Test was conducted on 210 subjects to determine the skin irritation and sensitization potential of a blusher containing 32 percent Isostearyl Neopentanoate. Test subjects consisted of both males and females between the ages of 18 and 65. The test material was placed on a gauze dressing and applied to the upper back of each subject for 24 hours. Following removal of the patch, test sites were graded for erythema and edema. Scores for



skin reactions were based on a scale of 0 (no irritation) to 4.0 (marked edema and vesicles). This test procedure was repeated every other day (Monday, Wednesday, Friday) for 3½ weeks for a total of 10 induction applications. Ten to 14 days after grading the tenth induction application, a challenge patch was applied for a 48-hour contact period. Seven to 10 days after removal of the initial challenge patch, a second 48-hour occlusive challenge patch was applied. Test sites were graded 48 hours following removal of the first challenge patch, and both 48 and 72 hours following removal of the second challenge patch. Two of the 210 subjects had single 2+ (erythema and papules) induction reactions; one reaction occurred after induction application number 6 and the second after induction application number 9. According to the investigator, these two reactions appeared to be "nonspecific irritation." There were no reactions to the two challenge applications. It was concluded that the blusher containing 32 percent Isostearyl Neopentanoate was "neither a strong irritant nor a contact sensitizer."<sup>(55)</sup>

The skin irritation and sensitization potential of a blusher containing 32 percent Isostearyl Neopentanoate was evaluated by means of a modified Draize-Shelanski Repeat Insult Patch Test. The product was applied under an occlusive patch to the upper back of each of 151 subjects. After 48 hours, the patch was removed and the test site subsequently graded for erythema and edema. This test procedure was repeated every other day (Monday, Wednesday, Friday) for 3½ weeks for a total of 10 induction applications. Ten to 14 days after the tenth insult, a challenge patch containing the test substance was applied for 48 hours. No skin reactions were observed on any of the subjects throughout the entire patch series.<sup>(56)</sup>

A face product containing 25 percent Isostearyl Neopentanoate produced no skin irritation or sensitization when tested on 43 female and 11 male subjects in a modified Draize-Shelanski-Jordan patch test. The procedure called for a total of 10 24-hour induction applications of the product to the upper back of each subject. After a 12-day nontreatment period, a challenge patch containing the product was applied for 48 hours. A second 48-hour patch was applied 7 days later. Challenge sites were evaluated 48 and 72 hours following application. All applications of the cosmetic product were under occluded conditions.<sup>(57)</sup>

One hundred ninety-eight male and female subjects between the ages of 16 and 60 participated in a modified Draize-Shelanski patch test. A lip product containing 16.05 percent Isostearyl Neopentanoate was impregnated onto impermeable patches, which were then applied to the upper back on Monday, Wednesday, and Friday for 3 consecutive weeks. The patches were removed and the test sites evaluated on the next scheduled patch replacement day. At the conclusion of this induction phase, a 2-week nontreatment period ensued, followed by two consecutive 48-hour challenge patches to previously untreated sites on the upper back. Challenge sites were graded at 48 and 96 hours. Skin reactions were scored on a scale of 0 (no reaction) to 4+ (bullae or extensive erosions). Four of the 198 subjects had single 1+ reactions (macular, faint erythema involving at least 25 percent of the test area); these reactions occurred following induction applications 2, 4, 7, and 8. No skin reactions occurred as a result of the challenge patches. It was concluded that the lip product had no clinically significant potential for primary irritation or contact sensitization.<sup>(58)</sup>

One hundred seven panelists were tested with a moisturizer containing 5 percent Isostearyl Neopentanoate to determine the product's ability to cause skin

irritation and/or sensitization. The induction phase consisted of a 24-hour occlusive patch to the upper back every other day for 3 consecutive weeks (nine 0.1 ml applications). A 24-hour occlusive challenge patch was applied in the sixth week of the study. Two of the 107 subjects developed skin reactions. Of the two reactors, one developed minimal to mild skin erythema after induction applications 2 through 8. The second reactor had moderate skin erythema as a result of the second induction patch. The latter subject's response was reported as indicative of "presensitization," that is, her intolerance existed before the test. No other panelists developed reactions during the induction or challenge phase. It was concluded that the moisturizer "did not exhibit any potential for inducing allergic sensitization."<sup>(59)</sup>

A moisturizer containing 5 percent Isostearyl Neopentanoate was evaluated for its skin irritating and sensitizing properties on 103 women between the ages of 18 and 65. The moisturizer was applied under an occlusive dressing to the upper back for a 48-hour contact period. Upon removal of the patch, the treated site was wiped free of excess moisturizer and graded for irritation. This procedure was repeated for a total of 10 applications with the exception that "... patches applied on Friday remained in place for 72 hours instead of 48 hours." After a 10-day nonexposure period, a 48-hour challenge patch was applied to a fresh site of the back. The treated site was graded both 15 minutes and 24 hours following patch removal. Six of the 103 panelists developed a positive irritation reaction during the induction period. The maximum reaction of these 6 individuals consisted of 5 "doubtful" reactions and 1 "erythema" reaction. None of the 98 panelists completing the challenge phase (5 subjects withdrew from the study during the challenge phase) had reactions to the challenge patch. Of the 5 subjects who withdrew from the test during the challenge phase, 2 had reactions during the induction period.<sup>(60)</sup>

### Photosensitization

A lip formulation containing 16.05 percent Isostearyl Neopentanoate was evaluated for its ability to induce photosensitization. A 0.1 ml/cm<sup>2</sup> dose of the product was applied under an occlusive dressing to the skin of 27 subjects. After 24 hours of exposure, the patches were removed and the test sites subsequently irradiated with a UV light source at three times the individual's minimal erythema dose (MED). The MED of each panelist was determined in accordance with procedures outlined in the Federal Register.<sup>(61)</sup> A filtered Xenon Arc Solar Simulator (150 W) was used to produce a continuous emission spectrum in the UVA and UVB region (290–400 nm). Forty-eight hours following the UV exposure, the test sites were graded. The procedure of application, patching, and UV treatment was then repeated for a total of seven product and UV exposures. No phototoxicity or photoallergenicity was observed in any subject.<sup>(62)</sup>

### SUMMARY

Isostearyl Neopentanoate is the ester of isostearyl alcohol and neopentanoic acid. It is used in cosmetics for its emollient properties. The ingredient functions as a binder for pressed powder makeup and as a pigment-dispersing agent in eye

makeup formulations. Although Isostearyl Neopentanoate is used in a variety of cosmetic products, its most frequent use occurs in eye shadow. Concentrations of this ingredient in cosmetic products generally range from 1 to 10 percent, although there are a few products with higher concentrations. Cosmetic products containing Isostearyl Neopentanoate are applied to the skin and/or eye area.

No significant published literature existed for Isostearyl Neopentanoate. As a result, much of the safety data available to the CIR Panel consisted of unpublished reports and studies provided by industry. Isostearyl Neopentanoate (100 percent) was nonirritating to the skin, minimally irritating to the eye, noncomedogenic, and nonpustulogenic in studies with rabbits. The acute oral LD<sub>50</sub> in rats of 100 percent Isostearyl Neopentanoate was > 40 ml/kg, indicating that this ingredient was relatively nontoxic to this animal by oral administration. In studies with guinea pigs, 1.0 percent Isostearyl Neopentanoate in petrolatum and 0.1 percent in physiological saline were nonsensitizing to the skin. No cumulative systemic toxicity was observed in rats given 100 percent Isostearyl Neopentanoate orally for 93 days.

In clinical studies, Isostearyl Neopentanoate (100 percent) caused no sensitization and no significant irritation of the skin. A cosmetic lip product containing 16.05 percent of the ingredient produced no phototoxicity and no photoallergenicity.

## DISCUSSION

The CIR Panel noted the lack of unpublished or published data on the mutagenicity, carcinogenicity, and teratogenicity of Isostearyl Neopentanoate and its acid and alcohol components. The alcohol and the acid resulting from hydrolysis of Isostearyl Neopentanoate ester would be expected to be metabolized along regular pathways and would not be expected to be converted to mutagenic, carcinogenic, and/or teratogenic metabolites.

## CONCLUSION

On the basis of the available information, the Panel concludes that Isostearyl Neopentanoate is safe as a cosmetic ingredient in the present practices of use.

## ACKNOWLEDGMENT

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